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受文者：經濟部國際貿易局

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密等及解密條件或保密期限：

附件：如文（經美1100000363_Attach1.pdf）

主旨：陳報有關美商務部依據「資通訊技術與服務產業供應鏈安全」行政命令，就預審或許可程序徵求公眾評論事，敬請查參。

說明：

- 一、本組本（110）年3月22日經美字第1100000341號函諒達。
- 二、背景說明：美商務部本年1月19日依據「資通訊技術與服務產業供應鏈安全」第13873號行政命令（以下簡稱「ICTS行政命令」）發布臨時最終法規（Interim Final Rule，下簡稱「ICTS法規」），在本案評論期間，商務部接獲各方，包括相關產業公會等要求該部應提供相關交易之預審（pre-clearance）或許可（licensing）程序，以降低交易面臨之不確定性；鑒此，商務部表示將於本年5月19日前發布並執行「許可程序」（licensing process），俾利各方進行相關交易前可獲得商務部之預審。
- 三、美商務部頃於本年3月26日於聯邦公報預告，該部將就前述制定預審或許可程序徵求公眾評論，評論期限自公告正式



刊登於聯邦公報後30日內截止。該部擬徵求利害關係各方就以下問題提供資訊：

- (一)鑒於「美外國投資委員會」(CFIUS)管轄交易類型、商務部產業與安全局(BIS)出口管制建制及ICTS法規所管轄之交易類型不同，請問CFIUS或BIS之審查程序是否有可供制定ICTS交易預審或許可程序借鏡之處？
- (二)預審或許可程序有諸多方式，包括交易前須獲授權之制度或讓相關交易方(entities)就潛在ICTS交易對商務部之可能審查結果有更多聊解。
- (三)在預審或許可程序中，可向小型交易方提供那些考量因素同時可避免損及保護國家安全之目標？
- (四)ICTS法規中所提之交易類別，那些不適合被納入預審或許可程序？那些需要被優先考量納入預審或許可程序？針對不同ICTS交易類型，是否需要不同預審或許可程序？
- (五)商務部是否應考量對長期多次之ICTS交易核發許可？倘是，商務部審查過程應評估何種事實？
- (六)商務部應當或不應當要求要求交易方提出何種訊息，例如技術、安全或營運資訊？
- (七)鑒於審查交易需分析諸多訊息，下列何種許可批准方式較佳？商務部在短時間內做出決定，但核發數量少，或商務部使用較長審查時間，但可以一次核發較多數量？
- (八)考量是否授予許可或預審時，應如何評估緩解(mitigate) ICTS交易的可能性？
- (九)倘若預審或許可被批准，嗣後交易主題實質改變，商務



部應採取何措施避免許可失效？

(十)ICT交易許可或預審持有者是否有機會可更新 (renew)

其許可？若是，應考量那些事實？更新之適當時間為

何？更新流程架構為何？

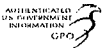
四、檢送前述公告如附件，並請卓參。(3/29公告, 4/28截止)

正本：經濟部國際貿易局

副本：經濟部工業局

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Document	ADAMS accession No.
Proposed CoC 1014 Amendment No. 15 Appendix B-100U	ML20295A421.
Preliminary CoC 1014 Amendment No. 15 Safety Evaluation Report	ML20295A422.

The NRC may post materials related to this document, including public comments, on the Federal Rulemaking website at <http://www.regulations.gov> under Docket ID NRC-2020-0257.

Dated March 16, 2021.
For the Nuclear Regulatory Commission.
Margaret M. Doane,
Executive Director for Operations.
[FR Doc. 2021-06329 Filed 3-26-21; 8:45 am]
BILLING CODE 7590-01-P

DEPARTMENT OF COMMERCE

15 CFR Part 7

[Docket No. 210325-0068]

RIN 0605-AA60

Securing the Information and Communications Technology and Services Supply Chain: Licensing Procedures

AGENCY: U.S. Department of Commerce.
ACTION: Advance notice of proposed rulemaking. (ANPRM)

SUMMARY: On January 19, 2021, the Department of Commerce (the Department) published a interim final rulemaking, “Securing the Information and Communications Technology and Services Supply Chain,” which became effective on March 22, 2021. It allows the Secretary of Commerce, in accordance with Executive Order 13873, to prohibit certain information and communications technology and services transactions (ICTS Transactions) to address national security threats. In the January 19 notice, the Department stated it would implement a licensing process by May 19th for entities seeking pre-approval before engaging in or continuing to engage in ICTS Transactions. The Department is now seeking public input on such a licensing or other pre-clearance process.

DATES: Comments must be received by April 28, 2021.

ADDRESSES: All comments must be submitted by one of the following methods:

- *By the Federal eRulemaking Portal:* <http://www.regulations.gov> at docket number [DOC-2021-DOC-2021-0004].
- *By email directly to:* ICTsupplychain@doc.gov. Include “RIN

0605-AA60: ANPRM” in the subject line.

• **Instructions:** Comments sent by any other method, to any other address or individual, or received after the end of the comment period, may not be considered. For those seeking to submit confidential business information (CBI), please clearly mark such submissions as CBI and submit by email or via the Federal eRulemaking Portal, as instructed above. Each CBI submission must also contain a summary of the CBI, clearly marked as public, in sufficient detail to permit a reasonable understanding of the substance of the information for public consumption. Such summary information will be posted on [regulations.gov](http://www.regulations.gov).

FOR FURTHER INFORMATION CONTACT: Joe Bartels, U.S. Department of Commerce, telephone: (202) 482-1595. For media inquiries: Brittany Caplin, Deputy Director of Public Affairs and Press Secretary, U.S. Department of Commerce, telephone: (202) 482-4883, email PublicAffairs@doc.gov.

SUPPLEMENTARY INFORMATION: On November 27, 2019, the Department of Commerce (the Department) published a notice of proposed rulemaking (84 FR 65316) seeking public comment on implementing Executive Order 13873 of May 15, 2019, “Securing the Information and Communications Technology and Services Supply Chain” (84 FR 22689). On January 19, 2021, the Department published a interim final rulemaking that is effective as of March 22, 2021 (86 FR 4909). In this document, in response to requests from various commenters, including multiple trade associations, to provide a pre-clearance process or similar program that would reduce uncertainty for entities seeking to engage in ICTS Transactions, the Department stated it would implement a licensing process by May 19, 2021 (86 FR 4909, at 4911).

However, it has become apparent additional public input is needed, and the Department does not expect to have a licensing or other pre-clearance process in place by May 19, 2021. With this ANPRM, the Department is seeking input into several aspects of a potential voluntary licensing or pre-clearance process. The Department will consider the public input as it drafts a Notice of Proposed Rulemaking.

Please note this ANPRM does not alter the effective date of the interim

final rule nor does it reopen or extend the deadline for submitting comments on the interim final rule. This ANPRM is solely seeking public input on the forthcoming licensing procedures.

In responding to this ANPRM, please refer to the definitions and the explanation of those definitions used in the interim rule. For ease of reference, some of the more important terms are re-stated below:

ICTS Transaction means any acquisition, importation, transfer, installation, dealing in, or use of any information and communications technology or service, including ongoing activities, such as managed services, data transmission, software updates, repairs, or the platforming or data hosting of applications for consumer download. An ICTS Transaction includes any other transaction, the structure of which is designed or intended to evade or circumvent the application of the Executive Order. The term ICTS Transaction includes a class of ICTS Transactions.

Note that ICTS Transactions include provision of services, and the term includes any and all transactions that occurred on or after January 19, 2021, by any person owned by, controlled by, or subject to the jurisdiction or direction of a foreign adversary. Providing services, such as software updates, to U.S. persons may provide a foreign adversary an opportunity to engage in the types of activities that may threaten U.S. national security.

Party or parties to a transaction means a person engaged in an ICTS Transaction, including the person acquiring the ICTS and the person from whom the ICTS is acquired. Party or parties to a transaction include entities designed, or otherwise used with the intention, to evade or circumvent application of the Executive Order. For purposes of this rulemaking, this definition does not include common carriers, except to the extent that a common carrier knew or should have known (as the term “knowledge” is defined in 15 CFR 772.1) that it was providing transportation services of ICTS to one or more of the parties to a transaction that has been prohibited in a final written determination made by the Secretary or, if permitted subject to mitigation measures, in violation of such mitigation measures.

Person means an individual or entity.

Person owned by, controlled by, or subject to the jurisdiction or direction of a foreign adversary means any person, wherever located, who acts as an agent, representative, or employee, or any person who acts in any other capacity at the order, request, or under the direction or control, of a foreign adversary or of a person whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in majority part by a foreign adversary; any person, wherever located, who is a citizen or resident of a nation-state controlled by a foreign adversary; any corporation, partnership, association, or other organization organized under the laws of a nation-state controlled by a foreign adversary; and any corporation, partnership, association, or other organization, wherever organized or doing business, that is owned or controlled by a foreign adversary.

While the Department welcomes comments and views on all aspects of the future licensing process, the Department is particularly interested in obtaining information on the following questions:

- Multiple commenters pointed to notifications to the Committee on Foreign Investment in the United States (CFIUS) regarding certain investments in U.S. businesses and real estate transactions in the United States by foreign persons, as well as voluntary disclosures to the Bureau of Industry and Security (BIS) regarding potential violations of U.S. export controls, as potential models for creating a process that would provide entities seeking to engage in an ICTS Transaction greater certainty that the transaction will not be prohibited. Given the differences between the type of transactions subject to CFIUS jurisdiction, those governed by BIS's export control regime, and ICTS Transactions governed by the interim final rule, are the CFIUS and BIS processes useful models for an ICTS Transaction licensing or pre-clearance process? If so, are there specific factors or aspects of the CFIUS and BIS processes that Commerce should consider?

- Pre-clearance or licensing processes can take a range of forms from, for example, a regime that would require authorization prior to engaging in an ICTS Transaction, to one that allow entities to seek additional certainty from the Department that a potential ICTS Transaction would not be prohibited by the process under the interim final rule. What are the benefits and disadvantages of these various approaches? Which would be most appropriate given the nature of ICTS transactions? How can

these approaches be implemented to ensure that national security is protected?

- What considerations could be provided to small entities in the licensing or other pre-clearance process that would not impair the goal of protecting the national security?

- Are there categories or types of ICTS Transactions described in 15 CFR 7.3 or within the interim final rule that should or should not be considered for a license or pre-clearance? Are there categories or types of ICTS Transactions described in 15 CFR 7.3 or within the interim final rule that the Department should prioritize for licensing or pre-clearance? Should the licensing or pre-clearance process be structured differently for distinct categories or types of ICTS Transactions?

- Should a license or pre-clearance apply to more than a single ICTS Transaction? For example, should the Department consider issuing a license that applies to multiple ICTS Transactions from a single entity that is engaged in a long-term contract for ICTS? If so, what factors should the Department evaluate in determining the appropriateness of such a license or series of licenses?

- What categories of information should the Department require or not require, e.g. technical, security, operational information?

- While the Department understands that business decisions must often be made within tight timeframes, the Department may not be able to determine whether a particular ICTS Transaction qualifies for a license or pre-clearance without detailed information and analysis. Considering this tension, should the Department issue decisions on a shorter timeframe if that could result in fewer licenses or pre-clearances being granted, or would the inconvenience of a longer timeframe for review be outweighed by the potential for a greater number of licenses or pre-clearances being issued?

- How should the potential for mitigation of an ICTS Transaction be assessed in considering whether to grant a license or pre-clearance for that transaction?

- If a license or pre-clearance request is approved, but the subject ICTS Transaction is subsequently modified, what process should be enacted to avoid invalidation of the license or other form of pre-clearance?

- Should holders of an ICTS Transaction license or other form of pre-clearance have the opportunity to renew them rather than reapplying? If so, what factors should be considered in a renewal assessment? What would be the

appropriate length of time between renewals? How should any renewal process be structured?

Wynn Coggins,
Acting Deputy Secretary.

[FR Doc. 2021-06529 Filed 3-26-21; 8:45 am]

BILLING CODE 3510-20-P

FEDERAL COMMUNICATIONS COMMISSION

47 CFR Part 73

[MB Docket No. 21-55; RM-11878; DA 21-162; FR ID 17506]

Television Broadcasting Services St. George, Utah

AGENCY: Federal Communications Commission.

ACTION: Proposed rule.

SUMMARY: The Commission has before it a petition for rulemaking (Petition) filed by KUTV Licensee, LLC, (Licensee), licensee of KMYU, channel 9, St. George, Utah (KMYU or Station), requesting the substitution of channel 21 for channel 9 at St. George in the DTV Table of Allotments. Licensee states that the proposed channel change from channel 9 to channel 21 would result a substantial increase in signal receivability for KMYU's core viewers and enable viewers to receive the Station's signal with a significantly smaller antenna. Licensee maintains that KMYU, as a VHF channel station, has had a long history of dealing with severe reception problems exacerbated by the analog to digital conversion. The proposed migration of KMYU from channel 9 to channel 21, Licensee contends, will result in the delivery of enhanced signal levels to a large percentage of the Station's population without any predicted loss of coverage. Further, Licensee maintains that the change will result in an predicted increase of more than 8,000 persons in the Station's overall population. Licensee concludes by saying that the public interest would be best served by promptly granting its Petition with the specifications set forth in therein so that St. George-area viewers may benefit from substantially improved over-the-air broadcast television service as soon as possible.

DATES: Comments must be filed on or before April 28, 2021 and reply comments on or before May 13, 2021.

ADDRESSES: Federal Communications Commission, Office of the Secretary, 45 L Street NE, Washington, DC 20554. In addition to filing comments with the